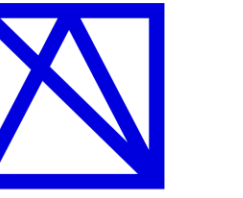


One-Shot Classification of ID Documents

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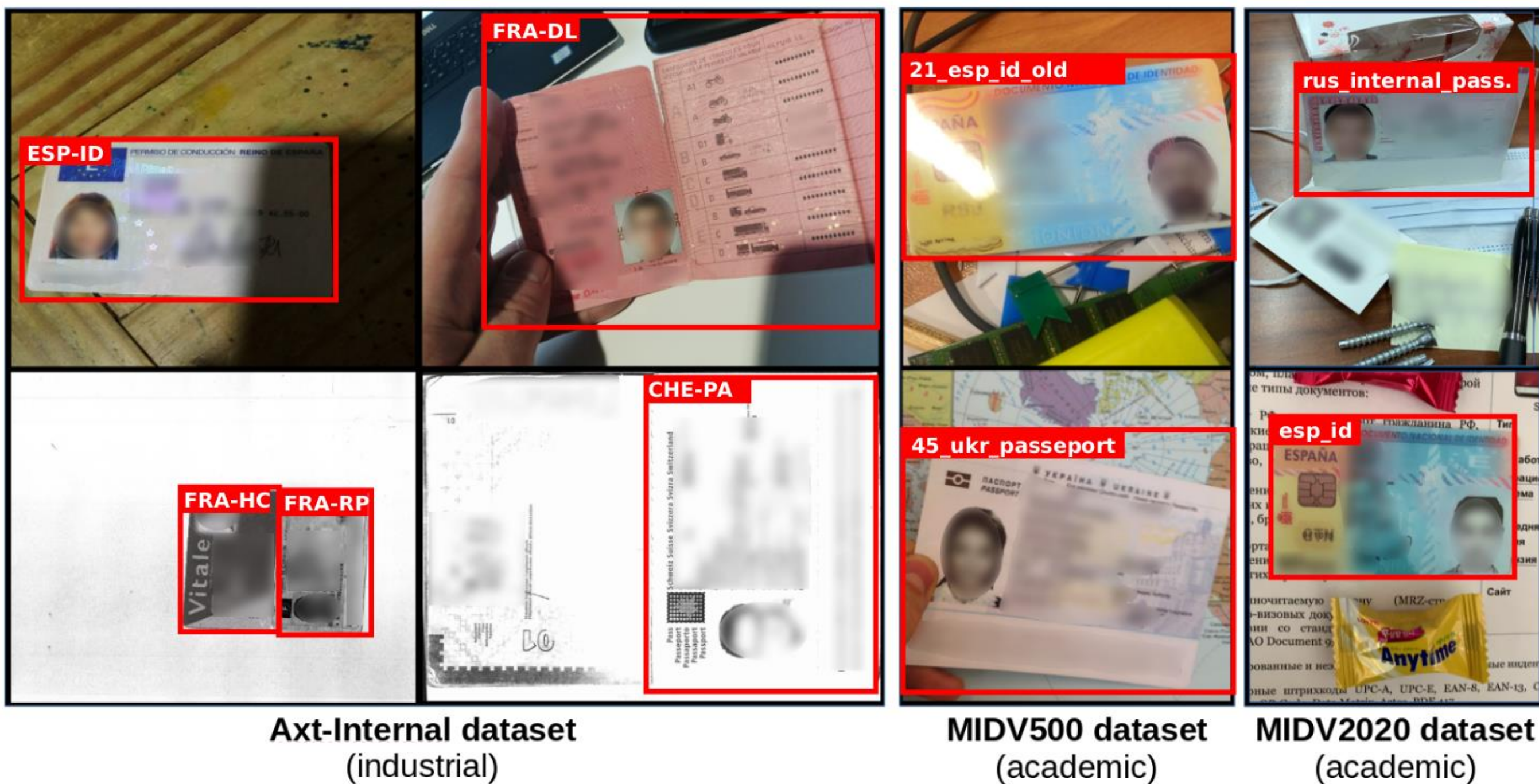
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by IDnow.

Objectives and Challenges

- Localize and **classify** ID documents in various capture conditions (smartphone, scans, webcam)
- Support new documents with only a few samples available.
- Support 1000+ identity document models.
- Diversity of document models and background.



Impact of training loss

- Using different losses for training reveals that training a network for pure classification using the Softmax loss yields better results than using metric learning techniques inherited from the face classification problem.

Losses	AXT-Internal		Midv2020				Average (\pm std)
	TestSet	scan upright	scan rotated	photo	clip		
ArcFace	99.23	92.50	71.40	77.80	76.13	83.41 (\pm 11.85)	
CosFace	99.34	88.00	76.90	75.50	78.98	83.74 (\pm 9.99)	
Triplet	99.36	83.50	65.30	71.50	71.47	78.23 (\pm 13.53)	
Triplet-R	97.23	100.00	95.40	91.90	92.96	95.50 (\pm 3.27)	
Softmax*	97.57	99.90	98.30	95.90	93.71	97.08 (\pm 2.42)	
Softmax	99.46	100.00	100.00	98.50	97.55	99.10 (\pm 1.06)	

Table 2: One-shot classification accuracy for different training losses. For all the losses, network is trained on the AXT-Internal dataset. *network trained from scratch.

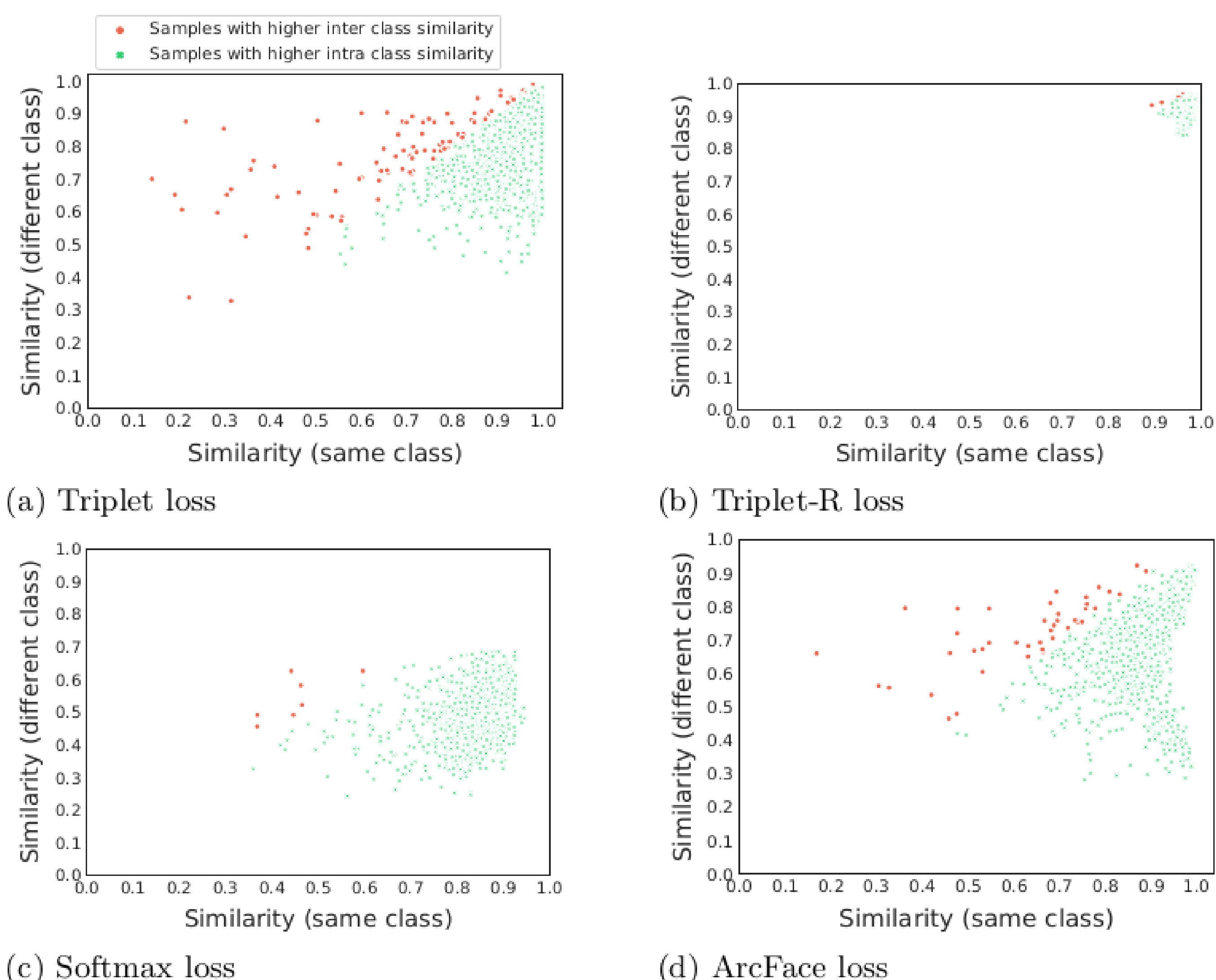


Fig. 3: Scatter plots showing the cosine similarity to the most similar example from the same and different classes of the AXT-Internal test dataset, for 4 different losses.

Generalization Capabilities

- Training on a varied dataset yield better results on unseen datasets.

Train \ Test	TestSet	AXT-Internal		Midv2020		Midv500		
		scan rotated	scan upright	photo	clip	* All	** TestSet	
AXT-Internal	100%	99.80	100.00	100.0	98.80	98.09	99.70	99.71
	75%	96.20	100.00	100.0	97.70	97.55	99.57	99.54
	50%	92.52	100.00	99.70	95.80	96.96	99.22	99.29
	25%	84.20	98.60	98.00	91.10	94.87	98.59	98.87
Midv500*	All	71.21	99.80	97.70	94.30	96.21	99.96 [†]	99.94 [†]
Midv500**	TrainSet	49.00	79.70	79.10	58.40	58.19	88.79	87.55
Midv2020	All split	66.11	100.00 [†]	100.00 [†]	99.00 [†]	99.75 [†]	94.35	95.43

Table 4: Cross dataset one-shot classification accuracies. * filtered using [13] criterion, ** filtered using [23] criterion. [†] test samples overlap with training samples, values only serves as references of "ideal classification accuracy".

- Increasing the number of training classes help to better generalize to an increasing number of reference models.

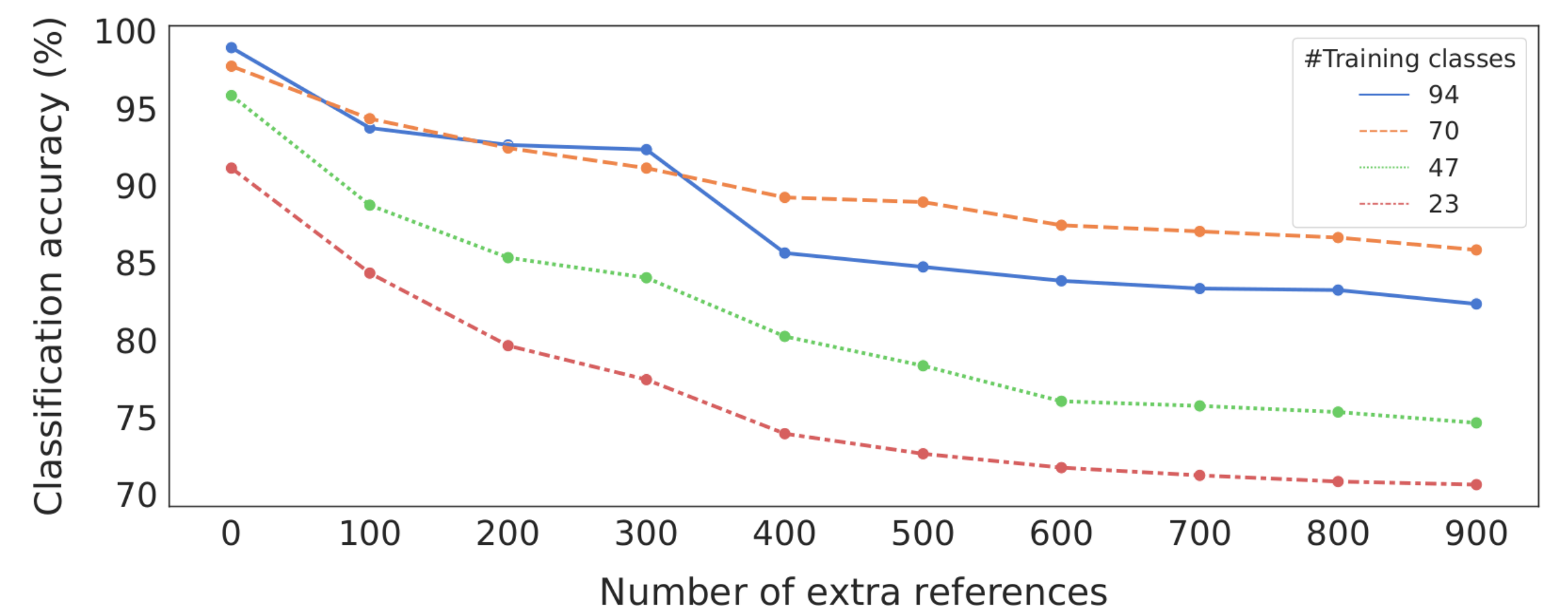


Fig. 4: One-shot classification accuracy in regard to the number of reference classes on the Midv2020 photo dataset.

Comparison with SOTA

- Our end-to-end localization + classification pipeline outperforms the current state-of-the-art approaches on all academic and private datasets.

Method	AXT-Internal		Midv2020		Midv500		
	TestSet	scan rotated	scan upright	photo	clip	* All	** TestSet
RFDoc [23]	-	-	-	-	-	-	93.46
SURF + Filters [5]	-	100.00 [9]	100.00 [9]	95.10 [9]	64.38 [9]	97.20 [15]	-
Beblid256 [9]	-	100.00	99.90	98.20	81.75	-	92.78 [23]
Beblid512 [9]	-	100.00	100.00	98.70	84.48	-	93.51 [23]
EffDet + Mnasnet [13]	94.98	-	-	-	-	93.91	-
EffDet + Ours	99.34	100.00	99.40	96.10	95.78	99.50	99.47

Table 5: Results of the proposed one-shot classification approach and other published classification methods, a - means that no results for this particular dataset was available.

Conclusion

- **Diversity matters:** The greater diversity in the axt-internal private dataset compared to the academic datasets show greater generalization capabilities on unseen datasets.
- **Simplicity is always a good thing:** Surprisingly, on simple use cases like this one, the simple softmax loss outperforms modern alternatives tailored for face classification.